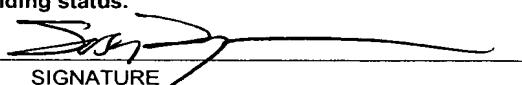


FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004)		ATTORNEY'S DOCKET NUMBER PP022241.0003
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unassigned 10/593135
INTERNATIONAL APPLICATION NO. PCT/US2005/009097	INTERNATIONAL FILING DATE 17 March 2005	PRIORITY DATE CLAIMED 17 March 2004
TITLE OF INVENTION TREATMENT OF SEVERE COMMUNITY-ACQUIRED PNEUMONIA BY ADMINISTRATION OF TISSUE FACTOR PATHWAY INHIBITOR (TFPI)		
APPLICANT(S) FOR DO/EO/US Abla CREASEY		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 		
Items 13 to 23 below concern document(s) or information included:		
<ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. EL987062155US 23. <input checked="" type="checkbox"/> Other items or information: copy of unsigned Declaration from Patent Application 		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) 101593135 Unassigned		INTERNATIONAL APPLICATION NO. PCT/US2005/009097		ATTORNEY'S DOCKET NUMBER PP022241.0003																										
24. The following fees are submitted: <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;"><input checked="" type="checkbox"/></td> <td style="width: 40%;">a) Basic national fee</td> <td style="width: 10%; text-align: right;">\$300.00</td> <td style="width: 10%; text-align: center;">Applicant use</td> <td style="width: 10%; text-align: center;">Office use</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>b) Examination fee</td> <td style="text-align: right;">\$200.00</td> <td></td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>c) Search fee</td> <td style="text-align: right;">\$500.00</td> <td></td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="text-align: right;">\$1000.00</td> <td></td> </tr> <tr> <td colspan="5"> <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. </td> </tr> </table>						<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00	Applicant use	Office use	<input checked="" type="checkbox"/>	b) Examination fee	\$200.00			<input checked="" type="checkbox"/>	c) Search fee	\$500.00			TOTAL OF ABOVE CALCULATIONS =			\$1000.00		<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
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\$ \$0.00																														
SurchARGE of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: right;">\$</td> <td style="width: 40%; text-align: right;">\$130.00</td> <td style="width: 10%; text-align: center;">\$</td> <td style="width: 40%; text-align: right;">\$130.00</td> </tr> </table>						\$	\$130.00	\$	\$130.00																					
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																											
Total claims	42 - 20 =	22	x	\$50.00	\$ \$1,100.00																									
Independent claims	2 - 3 =	0	x	\$200.00	\$ \$0.00																									
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: right;">\$</td> <td style="width: 40%; text-align: right;">\$0.00</td> <td style="width: 10%; text-align: center;">\$</td> <td style="width: 40%; text-align: right;">\$0.00</td> </tr> </table>						\$	\$0.00	\$	\$0.00																					
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: right;">\$</td> <td style="width: 40%; text-align: right;">\$0.00</td> <td style="width: 10%; text-align: center;">\$</td> <td style="width: 40%; text-align: right;">\$0.00</td> </tr> </table>						\$	\$0.00	\$	\$0.00																					
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Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: right;">\$</td> <td style="width: 40%; text-align: right;">\$0.00</td> <td style="width: 10%; text-align: center;">\$</td> <td style="width: 40%; text-align: right;">\$0.00</td> </tr> </table>						\$	\$0.00	\$	\$0.00																					
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: right;">\$</td> <td style="width: 40%; text-align: right;">\$0.00</td> <td style="width: 10%; text-align: center;">\$</td> <td style="width: 40%; text-align: right;">\$0.00</td> </tr> </table>						\$	\$0.00	\$	\$0.00																					
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a. <input checked="" type="checkbox"/> A check in the amount of \$ \$2,230.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1664 . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																														
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																														
SEND ALL CORRESPONDENCE TO: Novartis Vaccines and Diagnostics Inc Corporate Intellectual Property R338 P.O. Box 8097 Emeryville, California 94662-8097 US Customer Number 27476																														
 SIGNATURE Susan L. Abrahamson NAME 56,040 REGISTRATION NUMBER																														

PATENT
PP022241.0003

10/593135

PATENT COOPERATION TREATY
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

International Application No.	International Filing Date	Priority Date Claimed
PCT/US2005/009097	17 March 2005	17 March 2004

Title of Invention

TREATMENT OF SEVERE COMMUNITY-ACQUIRED PNEUMONIA BY ADMINISTRATION OF TISSUE FACTOR PATHWAY INHIBITOR (TFPI)

Applicants for DO/EO/US:
Abla CREASEY

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Express Mail Mailing Label No.: EL987062155US

Date of Deposit: 18 September 2006

I hereby certify that the attached Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C.371, copy of unsigned Declaration for Patent Application, copy of Published Specification, Check No. 9153 in the amount \$2230.00 and Postal Receipt Card are being deposited with the United Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R.1.10 on the date indicated above and addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 18 September 2006
Lisa Nash

Novartis Vaccines and Diagnostics, Inc
4560 Horton Street
Emeryville, California 94608
United States of America